

**PHYSICAL THERAPY BOARD OF CALIFORNIA****Consumer Protection Services Program**

1418 HOWE AVENUE, SUITE 16, SACRAMENTO, CA 95825-3204
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October 20, 2003

Citation No. 03-04-0051

Tracy Sarikakis, PTA
5901 Kipling Dr
Richmond CA 94803

License No. AT 3590

CITATION

Investigation of a recently submitted complaint has revealed actions taken by you which violate sections of the law regulating the practice of physical therapy. A Citation is hereby issued to you in accordance with Section 125.9 of the Business and Professions Code for the violations described below.

The Physical Therapy Board of California received a complaint alleging that physical therapy aides were allowed to practice without the supervision at the California Therapy Center. Interviews and a review of the patient records were conducted. The investigation confirmed that Louis Klein, PT, Carrie Morth, PT, and Iris Maoz, PT, failed to provide adequate supervision to physical therapist assistants and aides.

FIRST CAUSE FOR CITATION

On or about September 2002, an onsite investigation was conducted by an expert consultant and an Investigator at the California Therapy Center, 400 29th Avenue, Oakland, California.

On or about this date of the onsite investigation, Louis Klein, PT, was interviewed by an investigator and an expert consultant reviewed fifteen (15) randomly selected patient records from the year 2000 and fifteen (15) from 2001. Nine (9) of the fifteen (15) records from 2000 and seven (7) from the fifteen (15) from 2001 were significantly lacking co-signatures of the physical therapist. The expert consultant identified Louis Klein, PT, Carrie Kramer (Morth as reported in investigation), PT, Iris Maoz, PT, Robert Leek, PTA, and Tracy Sarikakis, PT, as providing physical therapy to the patients.

Patient 5 findings: On 11/20/2000, Iris Maoz, PT, performed the initial evaluation for Patient 5. There were note entries made by Tracy Sarikakis, PTA, on 12/15/2000, 12/15/2000, 12/19/2000, 01/09/2001, 01/11/2001, 01/16/2001, 01/19/2001 and 01/23/2001, none of which were co-signed by a physical therapist .

The above constitutes a violation of the Section 2630 of the B&P. It is unlawful for any person or persons to practice or offer to practice, physical therapy in this state for compensation received or expected, or to hold himself or herself out as a physical therapist, unless at the time of so doing the person holds a valid, unexpired, and unrevoked license issued under this chapter.

SECOND CAUSE FOR CITATION

Patient 6 findings: Louis Klein, PT, performed an initial evaluation of patient on 06/01/2000. On 06/06/2000, 06/09/2000, 07/12/2000, 07/19/2000, and 08/02/2000, Robert Leek, PTA, wrote notes that were not co-signed by a physical therapist. On 10/03/2000 Louis Klein, PT, performed a second evaluation of patient. On 11/09/2000, 11/13/2000, 11/15/2000, 12/14/2000 and, 12/18/2000 Tracy Sarikakis, PTA , made notes that were not co-signed by a physical therapist.

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THIRD CAUSE FOR CITATION

Patient 10 findings: On 06/21/2001 Iris Maoz, PT, performed an initial evaluation of Patient 10. Notes written by Tracy Sarikakis, PTA, on 07/03/2001, 07/09/2001, 07/12/2001, 07/19/2001, 07/23/2001, 07/26/2001, 07/31/2001, 08/09/2001, 08/14/2001, 08/16/2001, 08/22/2001, 08/28/2001, 09/13/2001 and 09/19/2001 were not co-signed by a physical therapist.

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FORTH CAUSE FOR CITATION

Patient 11 findings: On 0/13/2001 Iris Maoz, PT, performed an initial evaluation of Patient 11. There were 4 dates of service provided by Tracy Sarikakis, PTA, and 8 dates of service by an unidentified PTA that were not co-signed by a physical therapist. On 03/22/2001 an unidentified Student Physical Therapist Assistant(SPTA) wrote a note regarding care of Patient 11. The SPTA wrote, "O. Pt seen per plan see flow sheet." The SPTA's signature was cosigned by a PTA and not by the supervising PT. The items checked on the flow sheet for 03/22/2001 were "u.s., stm., and stretches."

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FIFTH CAUSE FOR CITATION

Patient 12 findings: On 4/20/2001 Iris Maoz, PT performed an initial evaluation of Patient 12. There are a total of 7 dates of service by an unidentified PTA and Tracy Sarikakis, PTA, that were not co-signed by a physical therapist.

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SIXTH CAUSE FOR CITATION

Patient 14 findings: On 8/16/2001 Iris Maoz, PT, performed an initial evaluation of Patient 14. There were 5 of 7 dates of service noted by Tracy Sarikakis, PTA, that were not co-signed by a physical therapist. On 08/27/2001, 08/30/2001, 9/6/2001, 09/14/2001 and 09/18/2001, Tracy Sarikakis, PT, made notes regarding treatment that were not signed by a physical therapist.

The above constitutes a violation of the Section 2630 of the B&P. It is unlawful for any person or persons to practice or offer to practice, physical therapy in this state for compensation received or expected, or to hold himself or herself out as a physical therapist, unless at the time of so doing the person holds a valid, unexpired, and unrevoked license issued under this chapter.

SEVENTH CAUSE FOR CITATION

Patient 15 findings: On 06/19/2001 Iris Maoz, PT, performed an initial evaluation of Patient 15. There were 5 out of 7 notes written by Tracy Sarikakis, PTA, that are not co-signed by a physical therapist. A discharge summary is dated 7/11/01.

The above constitutes a violation of the Section 2630 of the B&P. It is unlawful for any person or persons to practice or offer to practice, physical therapy in this state for compensation received or expected, or to hold himself or herself out as a physical therapist, unless at the time of so doing the person holds a valid, unexpired, and unrevoked license issued under this chapter.

EIGHTH CAUSE FOR CITATION

Patient 16 findings: The expert consultant identified another patient under patient 15 although the information was found in Patient 16's record. On 9/11/01 Iris Maoz, PT, performed an initial evaluation for Patient 16. There were 6 notes by Tracy Sarikakis, PTA, that were not co-signed by a physical therapist.

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ORDER

Further, **you are ordered to pay a fine of \$ 1600.00** to the Physical Therapy Board of California within 30 days after receipt of this Citation.

If you wish to contest all or any part of this Citation, complete the enclosed "Notice of Appeal -Request for Informal Conference" form and return it to this office within 10 days after receipt of this Citation. The date indicated on the order of abatement will be held in abeyance only for any violation(s) you wish to contest. The time to correct all uncontested violations will be adhered to as specified. In the alternative, you may, within 30 days after receipt of this Citation, request a formal administrative hearing before an administrative law judge under the Administrative Procedure Act.

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Tracy Sarikakis, PTA
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**FAILURE TO RETURN THE REQUEST FOR INFORMAL CONFERENCE FORM OR
TO REQUEST AN ADMINISTRATIVE HEARING WITHIN THE TIMES
INDICATED WILL WAIVE YOUR RIGHT TO ADMINISTRATIVELY CONTEST
OR APPEAL THIS CITATION.**

Administrative Fine

\$ 1600.00

FOR YOUR PROTECTION AND TO ASSURE PROPER CREDIT,
PLEASE RETURN THE COPY OF THE CITATION
AND NOTE THE CITATION NUMBER ON YOUR CHECK
OR MONEY ORDER.

October 20, 2003
Date

Original Signed By:

STEVEN K. HARTZELL
Executive Officer



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ORDER OF ABATEMENT
October 20, 2003

Citation Against: Tracy Sarikakis

Citation No. 03-04-0051

Violations: Business and Professions Code Section 2630.

Description:

Business and Professions Code 2630

It is unlawful for any person or persons to practice or offer to practice, physical therapy in this state for compensation received or expected, or to hold himself or herself out as a physical therapist, unless at the time of so doing the person holds a valid, unexpired, and unrevoked license issued under this chapter.

Order of Correction

You are hereby ordered to abate this violation immediately and to cease and desist from further violation.

Failure to comply with the provisions of this Citation and Order of Abatement within 30 days of receipt may result in further legal action.